



REQUEST FOR PROPOSAL

RFP #: RFP-2509

For: Broadband Geographic Information System Mapping Support

The Rhode Island Commerce Corporation (“Corporation”) seeks proposals from qualified firms to support the ongoing maintenance and publishing of the Corporation’s statewide broadband availability map in support of the Corporation’s efforts to ensure that all Rhode Island residents and businesses have access to reliable, affordable, and consistent broadband service. The geographic information system (“GIS”) map provides critical support to the Corporation’s ConnectRI Program and supports the Corporation’s efforts to meet requirements of the National Telecommunications and Information Administration (“NTIA”) Broadband Equity, Access, and Deployment (“BEAD”) program; the Digital Equity Act (“DEA”); the US Treasury’s Capital Projects Fund (“CPF”) program (collectively, “Programs”); as well as State of Rhode Island (“State”) statutory requirements.

This document constitutes a Request for Proposal (“RFP”), in a competitive format, from qualified firms. This request is an offer by the Corporation to underwrite, in accordance with the terms and conditions of this RFP, the services proposed by the successful firm(s), by contract.

The respondents (“Proposers”) to this RFP shall provide a proposal, in accordance with the terms and conditions set forth herein, to provide all of the services to the Corporation as described in the Scope of Work.

Project Overview

ConnectRI, the Corporation’s broadband effort, has the following broadband and digital equity goal, as written in the Broadband Strategic Plan approved by the State’s Broadband Advisory Council:

Leverage a best-in-class, resilient, sustainable, and scalable broadband infrastructure to propel the state’s 21st century economics, education, healthcare, civic and social engagement, and quality of life, by ensuring all Rhode Islanders have access to affordable, high-speed internet in their homes, at their places of employment, and at public facilities by 2027.

In alignment with this goal, the Corporation has built and published a statewide broadband map (“[Rhode Island Broadband Map](#)”) to provide a graphic demonstration of the State’s current broadband infrastructure availability and speeds. This map is used to inform and monitor the Corporation’s broadband infrastructure deployment efforts. The ongoing accuracy of all layers of this map is critical to maximizing the impact of the ConnectRI program, improving broadband speeds, and closing the digital divide in Rhode Island.

Background

The Corporation works with public, private, and non-profit partners to create conditions for businesses in all sectors to thrive and to improve the quality of life for the State's residents by promoting Rhode Island's long-term economic health and prosperity. ConnectRI seeks to ensure that Rhode Island residents and businesses have access to the broadband infrastructure that they need to succeed. A key component of this effort has been the development of the Rhode Island Broadband Map, which has the following purposes: 1) to support the development and prioritization of broadband infrastructure projects by location and user population; 2) to inform the State's allocation of federal funding for broadband infrastructure deployments, as well as other broadband-related projects; 3) to facilitate the State's compliance with funder(s) and statutory requirements; 4) to track the efficacy of Corporation-funded broadband infrastructure projects; and 5) to provide Rhode Islanders with a visual understanding of internet service access and speeds across the state.

Rhode Island has the opportunity to capitalize on its small geographic size, population density, flat terrain, and middle-mile fiber infrastructure to expand broadband access to its residences and businesses. The State has been awarded \$108.7 million from the NTIA's BEAD program, \$25.7 million from the US Treasury ("UST") CPF fund, and \$4.5m through the NTIA's DEA program. Combined, these funds will be used to provide access to affordable high-speed internet for all Rhode Islanders, as well as encourage job creation, capital investment, and the strengthening and diversification of local economies.

Scope of Work

The Corporation has created a public-facing Rhode Island Broadband Map, which utilizes, as its basis, the Federal Communications Commission ("FCC") National Broadband Map fabric structure and includes additional State-data and speed-test data layers, among other inputs. A final data architecture will be provided to any selected Proposer as part of the contracted engagement. The Rhode Island Broadband Map currently reflects residential and business locations that have been or will be served through federal broadband funding sources as well as locations that continue to require service. The Rhode Island Broadband Map also includes locations and service availability for the State's Community Anchor Institutions, which are entities that facilitate greater use of broadband service by vulnerable populations.

As the ConnectRI program moves from planning to implementation and monitoring, the Corporation requires assistance in maintaining, updating, and expanding the Rhode Island Broadband Map, as well as completing mapping-related reporting to relevant federal funders and to the State. This includes: 1) preparing and supporting the mapping requirements of quarterly reports to federal funders, the Rhode Island General Assembly, and other State agencies; 2) processing information from the Corporation's broadband infrastructure deployment vendors to update service levels represented on the map; 3) analyzing speed test data of locations within the State, especially newly connected broadband serviceable locations, and overlaying that information on the Rhode Island Broadband Map; 4) processing and analyzing quality of service data; and 5) completing ad-hoc mapping tasks and data analyses to support the ConnectRI program.

Note: The selected Proposer will be provided with the data architecture, data dictionary, and current GIS layers of the Rhode Island Broadband Map for updating and expanding. It is expected that the selected Proposer will maintain and build off this underlying and present-day map in GIS.

Specifically, Proposers are asked to:

Task 1: Developing an updated Rhode Island Broadband Map

- Build an updatable, public-facing, multi-layered map that displays location (based on FCC's National Broadband Map fabric and the current Rhode Island Broadband map), federal funding deployment by location, services and speeds advertised as available by location, and speeds experienced by Census Block Group.

Task 2: Maintaining and updating the Rhode Island Broadband Map

- Integrating updated data into the Rhode Island Broadband Map based on new deployment activity and local, regional, and national datasets, such as, but not limited to, the FCC's National Broadband Map fabric and Ookla speed test data.
- Ensuring the accuracy of all data on the Rhode Island Broadband Map through regular monthly control checks, as required by the Corporation.
 - Providing data quality reports on a monthly basis to the Corporation.
- Identifying, analyzing, and submitting inaccurate information regarding locations in Rhode Island in the broadband data maps created by the FCC under section 802 of the Communications Act (47 U.S.C. section 642(c)(1), to ensure alignment with the approved RI Broadband Map locations.
- Creating and updating detailed process documentation.
- Generating static or dynamic maps, applications, or reports as requested by the Corporation to support ConnectRI reporting to the Governor's Office, Corporation leadership, General Assembly membership and committees, and the public.
- Completing additional activities which may include the build out of additional mapping layers with new and existing NTIA and/or CPF tools (e.g. National Environmental Policy Act, etc.).
- Ensuring integration with and inclusion of all open-source mapping layers into the Rhode Island Broadband Map within the Rhode Island Geographic Information System ("RIGIS") data distribution clearinghouse.

Task 3: Supporting Reporting Requirements and Subgrantee Monitoring

- Identifying and understanding the mapping and data analysis requirements for ConnectRI funders, including the NTIA's BEAD program and the UST's CPF program.
- Compiling, analyzing, and formatting for submission of GIS mapping data for the NTIA Final Proposal in alignment with the Corporation's Final Proposal submission and compliance guidance.
 - This task will be done with direction from the Corporation.
- Reviewing and analyzing for accuracy location data provided by vendors in monthly, quarterly, and semi-annual reports.
- Compiling and, as needed, formatting CPF and BEAD build progress data quarterly for reporting to US Treasury and the NTIA, respectively.

- Updating the Rhode Island Broadband Map as necessary based on required guidance from the NTIA, US Treasury, or State of Rhode Island.
- Providing recommendations to the ConnectRI team aligned with current or new guidance related to the NTIA’s BEAD and DEA programs and US Treasury’s CPF program.

Task 4: Providing Expert GIS Consultation

- Providing on-going technical support, analyses and recommendations to the ConnectRI Team related to the Rhode Island Broadband Map.

Task 5: Developing a Transition Document and Plan for Ongoing Broadband GIS Mapping

- Providing recommendations, in written report form, for the ongoing maintenance of the Rhode Island Broadband Map as well as the transfer of the map/app/files to the RIGIS open data distribution clearinghouse (<http://rigis.org>) at the conclusion of the contract.
- Providing underlying databases and code created to enable the Rhode Island Broadband Map at the conclusion of the project as well as on-demand throughout the project at the request of the Corporation.

Qualifications:

- Demonstrated knowledge of and experience in the broadband industry
 - Knowledge, skills, and experience related to the FCC’s National Broadband Map
 - Demonstrated familiarity with broadband funding streams, including, but not limited to, NTIA’s BEAD & DEA programs and the UST’s CPF program
- GIS experience and technical expertise
 - Skills and experience developing, updating and maintaining cloud-based interactive mapping tools and portals
- Broadband-specific mapping skills and experience
 - Ability to understand, review and interpret broadband speed test data and analytical methodologies
- Record of experience in providing similar services to other clients

The Corporation reserves the right to consider such other relevant factors as it deems appropriate in order to obtain the “best value.”

Project Timeline:

April 8, 2025:	RFP Posted
April 15, 2025:	Deadline for Submitting Questions
April 18, 2025:	Questions and Answers Posted
April 29, 2025:	Deadline for RFP submissions

The successful Proposer will enter into a contract for services with the Corporation. The duration of the contract between the Corporation and the selected Proposer is expected to begin upon the date of contract approval for a duration of one year. There is no guarantee for services beyond the initial contract period.

Budget:

Proposers to this RFP shall provide an hourly rate to accomplish the scope items incorporated in the proposal. The Corporation anticipates the one-year contract not to exceed \$100,000. Additionally, Proposers shall provide a personnel schedule which includes job title and billing rate for any work which may be undertaken under this contract as well as explain which job titles/billing rates are expected to be applied to which task above. Subcontractors are not eligible to be funded under this contract. If the Proposer contemplates any purchases or pass-through charges during the engagement, any mark-up rate above actual cost shall be identified as a separate line item in the budget. The Corporation reserves the right to adjust both the budget and related services. It is anticipated that the work will not exceed 10 hours per week.

In addition to showing how you will meet the requirements outlined above, Proposers should also provide information regarding the following:

- **Research and Analytics:** Provide an overview of how you determine success. What are the analytic tools or services that you use, and what type of information will you be reporting back to the Corporation as it relates to meeting our objectives?

Criteria for Selection

The bidder with the highest score will be selected as per the Corporation's purchasing guidelines. The goal of this RFP is to select and enter into an Agreement with the Proposer that will provide the best value for the services to achieve the Corporation's goals.

Responsive proposals for this RFP will be evaluated according to the Evaluation Criteria outlined below. The bidder with the highest score will be selected as per the Corporation's purchasing guidelines.

EVALUATION CRITERIA

	Points
OVERALL EXPERIENCE OF COMPANY & DEMONSTRATED RESULTS Our evaluation will include an assessment of the history of your company, your experience as it relates to providing broadband-related mapping services, evidence of past performance with GIS, quality and relevance of past work, references, and related items.	30
BROADBAND INDUSTRY KNOWLEDGE Our evaluation will include an assessment of your knowledge of and experience with the FCC National Broadband Map, the BEAD, CPF, and DEA programs, and other broadband industry efforts.	10
QUALIFICATIONS OF PERSONNEL Our evaluation will include an assessment of the qualifications and experience of your managerial team, staff, and related items.	20
FAMILIARITY WITH RHODE ISLAND Our evaluation will include our assessment of your understanding of our organization and Rhode Island's location data and how you integrated this knowledge into your proposal.	5

STRATEGIC THINKING/PLANNING APPROACH Overall approach and strategy described/outlined in the proposal and firm capacity to perform the engagement (prior experience of the firm in meeting timelines will be factored in here). Reasonableness of the proposed schedule for providing the categories of your proposed services will also be considered.	10
BUDGET APPROACH/COST EFFECTIVENESS Effective and efficient delivery of quality services is demonstrated in relation to the budget allocation and hourly rate structure. The allocation is reasonable and appropriate. Consideration will also be made for hourly rate discounts.	25
Total	100
MBE/WBE/DisBE Participation (additional potential points)	6

***NOTE:** Designated Corporation staff or selected advisors will evaluate the written proposals. The Corporation may at any time during the evaluation process seek clarification from Proposers regarding any information contained within their proposal. Final scores for each respondent will reflect a consensus of the evaluations. Any attempt by a Proposer to contact a member of Corporation staff or selected advisors outside the RFP process, in an attempt to gain knowledge or an advantage, may result in disqualification of Proposer.*

1. ISBE Participation Evaluation (see below for scoring)

- a. The Rhode Island Commerce Corporation encourages MBE/WBE/DisBE participation in this Request. In accordance with Title 37, Chapter 14.1, and Title 37, Chapter 2.2 of the Rhode Island General laws, the Corporation reserves the right to apply additional consideration to MBE/WBE/DisBE up to six (6) additional points in the scoring evaluation as provided below:
- b. Calculation of ISBE Participation Rate
 - i. ISBE Participation Rate for Non-ISBE Vendors. The ISBE participation rate for non ISBE vendors shall be expressed as a percentage and shall be calculated by dividing the amount of non-ISBE vendor's total contract price that will be subcontracted to ISBEs by the non-ISBE vendor's total contract price. For example, if the non-ISBE's total contract price is \$100,000.00 and it subcontracts a total of \$12,000.00 to ISBEs, the non-ISBE's ISBE participation rate would be 12%.
 - ii. ISBE Participation Rate for ISBE Vendors. The ISBE participation rate for ISBE vendors shall be expressed as a percentage and shall be calculated by dividing the amount of the ISBE vendor's total contract price that will be subcontracted to ISBEs and the amount that will be self-performed by the ISBE vendor by the ISBE vendor's total contract price. For example, if the ISBE vendor's total contract price is \$100,000.00 and it subcontracts a total of \$12,000.00 to ISBEs and will perform a total of \$8,000.00 of the work itself, the ISBE vendor's ISBE participation rate would be 20%.

c. Points for ISBE Participation Rate:

- i. The vendor with the highest ISBE participation rate shall receive the maximum ISBE participation points. All other vendors shall receive ISBE participation points by applying the following formula:

(Vendor's ISBE participation rate ÷ Highest ISBE participation rate X Maximum ISBE participation points)

For example, assuming the weight given by the RFP to ISBE participation is 6 points, if Vendor A has the highest ISBE participation rate at 20% and Vendor B's ISBE participation rate is 12%, Vendor A will receive the maximum 6 points and Vendor B will receive $(12\% \div 20\%) \times 6$ which equals 3.6 points.

See Appendix A for information and the MBE, WBE, and/or Disability Business Enterprise Participation Plan form(s). Bidders are required to complete, sign and submit these forms with their overall proposal. Please complete separate forms for each MBE, WBE and/or Disability Business Enterprise subcontractor/supplier to be utilized on the solicitation.

Instructions and Notifications to Proposers

1. Potential proposers are advised to review all sections of this RFP carefully and to follow instructions completely, as failure to make a complete submission as described elsewhere herein may result in rejection of the proposal.
2. Alternative approaches and/or methodologies to accomplish the desired or intended results of this procurement are solicited. However, proposals that depart from or materially alter the terms, requirements, or scope of work defined by this RFP will be rejected as being non-responsive.
3. All costs associated with developing or submitting a proposal in response to this RFP, or to provide oral or written clarification of its content, shall be borne by the proposer. The Corporation assumes no responsibility for such costs.
4. Proposals are considered to be irrevocable for a period of not less than 120 days following the date set for submission of proposals.
5. All pricing submitted will be considered to be firm and fixed unless otherwise indicated herein.
6. Proposals that are submitted late, misdirected or sent to the wrong email address will not be accepted.

7. All proposals should identify the proposed team of professionals, including those employed by subcontractors, if any, along with respective areas of expertise and relevant credentials. Proposer should also provide a delineation of the portion of the scope of work for which each of these professionals will be responsible.
8. All proposals should include the proposer's FEIN or Social Security number as evidenced by a W9, downloadable from <https://www.irs.gov/pub/irs-pdf/fw9.pdf>.
9. All proposals should include a completed RFP Response Certification Cover Form, included in this document and available here: <https://commerceri.com/wp-content/uploads/2022/08/RFP-RESPONSE-CERTIFICATION-COVER-FORM.pdf>.
10. The purchase of services under an award made pursuant to this RFP will be contingent on the availability of funds and made at the discretion of the Corporation.
11. Awarding this RFP is based on the Evaluation Criteria set forth in this RFP. Vendors are advised, however, that all materials and ideas submitted as part of this proposal and during the performance of any award shall be the property of and owned by the Corporation, which may use any such materials and ideas.
12. Interested parties are instructed to peruse the Corporation's website (www.commerceri.com) on a regular basis, as additional information relating to this solicitation may be released in the form of an addendum to this RFP. Addenda will also be posted to the Rhode Island State Division of Purchases' website at www.ridop.ri.gov.
13. Equal Employment Opportunity (R.I. Gen. Laws § 28-5.1-1, et seq.) – § 28-5.1-1 Declaration of policy – (a) Equal opportunity and affirmative action toward its achievement is the policy of all units of Rhode Island state government, including all public and quasi-public agencies, commissions, boards and authorities, and in the classified, unclassified, and non-classified services of state employment. This policy applies to all areas where State dollars are spent, in employment, public services, grants and financial assistance, and in state licensing and regulation.
14. In accordance with Title 7, Chapter 1.2 of the General Laws of Rhode Island, no corporation organized under the laws of another state or country shall have the right to transact business in Rhode Island until it shall have procured a Certificate of Authority to do so from the Rhode Island Secretary of State (401-222-3040). This is a requirement only of the successful bidder.
15. The proposer should be aware of the State's Minority Business Enterprise (MBE) requirements, which address the State's goal of fifteen percent (15%) participation by MBE's in all procurements, including a minimum of 7.5% participation by minority business enterprises owned and controlled by a minority owner, as defined in § 37-14.1-3, and a minimum of 7.5% participation by minority business enterprises owned and controlled by a woman. For further information, visit the website www.mbe.ri.gov.

16. The Corporation reserves the right to award to one or more Proposers.

Proposal Requirements

In order to be considered responsive, proposals must at a minimum contain the following:

Technical Proposal Elements

1. Description of the proposed approach and work plan. Activities and timelines should be specific, measurable, achievable, realistic, and time-oriented. Include a timeline of major tasks and milestones.
2. Person who will be the primary point of contact with the Rhode Island Commerce Corporation.
3. Qualifications of the Proposer to provide the requested services including capability, capacity, similarly complex projects and related experience and client references. Certification of availability of individuals in proposal.
4. A listing of the staff to be assigned to this engagement and their respective qualifications, past experience on engagements of this scope including resumes, and their role in those past engagements.
5. A description of the outcome monitoring and evaluation plan including a list of tools to track process, output and outcome measures for each component of the application.

Proposal Submission

Responses to this RFP must be received as follows: one (1) electronic (PDF) version must be provided by email to RFP@commerceri.com by **11:59 pm on Tuesday, April 29, 2025**. Submissions that are late, misdirected or sent to the wrong email address will not be accepted.

Note: To ensure transparency, no phone calls pertaining to this RFP will be accepted.

Questions, interpretations, or clarifications concerning this RFP should be directed by e-mail to RFP@commerceri.com no later than 11:59 pm on April 15, 2025. Responses to questions, interpretations, or clarifications concerning this RFP will be posted online via addendum at www.commerceri.com and www.ridop.ri.gov on April 18, 2025, to ensure equal awareness of important facts and details.

The Rhode Island Commerce Corporation reserves the right to terminate this solicitation prior to entering into any agreement with any qualified firm pursuant to this Request for Proposal, and by responding hereto, no firms are vested with any rights in any way whatsoever.

Rhode Island Commerce Corporation reserves the right to reject any or all proposals for not complying with the terms of this RFP.

APPENDIX A
**PROPOSER ISBE RESPONSIBILITIES AND MBE, WBE, AND/OR DISABILITY
BUSINESS ENTERPRISE PARTICIPATION FORM**

A. Proposer's ISBE Responsibilities (from 150-RICR-90-10-1.7.E)

1. Proposal of ISBE Participation Rate. Unless otherwise indicated in the RFP, a Proposer must submit its proposed ISBE Participation Rate in a sealed envelope or via sealed electronic submission at the time it submits its proposed total contract price. The Proposer shall be responsible for completing and submitting all standard forms adopted pursuant to 105-RICR-90-10-1.9 and submitting all substantiating documentation as reasonably requested by either the Using Agency's MBE/WBE Coordinator, Division, ODEO, or Governor's Commission on Disabilities including but not limited to the names and contact information of all proposed subcontractors and the dollar amounts that correspond with each proposed subcontract.
2. Failure to Submit ISBE Participation Rate. Any Proposer that fails to submit a proposed ISBE Participation Rate or any requested substantiating documentation in a timely manner shall receive zero (0) ISBE participation points.
3. Execution of Proposed ISBE Participation Rate. Proposers shall be evaluated and scored based on the amounts and rates submitted in their proposals. If awarded the contract, Proposers shall be required to achieve their proposed ISBE Participation Rates. During the life of the contract, the Proposer shall be responsible for submitting all substantiating documentation as reasonably requested by the Using Agency's MBE/WBE Coordinator, Division, ODEO, or Governor's Commission on Disabilities including but not limited to copies of purchase orders, subcontracts, and cancelled checks.
4. Change Orders. If during the life of the contract, a change order is issued by the Division, the Proposer shall notify the ODEO of the change as soon as reasonably possible. Proposers are required to achieve their proposed ISBE Participation Rates on any change order amounts.
5. Notice of Change to Proposed ISBE Participation Rate. If during the life of the contract, the Proposer becomes aware that it will be unable to achieve its proposed ISBE Participation Rate, it must notify the Division and ODEO as soon as reasonably possible. The Division, in consultation with ODEO and Governor's Commission on Disabilities, and the Proposer may agree to a modified ISBE Participation Rate provided that the change in circumstances was beyond the control of the Proposer or the direct result of an unanticipated reduction in the overall total project cost.

B. MBE, WBE, AND/OR Disability Business Enterprise Participation Plan Form:

Attached is the MBE, WBE, and/or Disability Business Enterprise Participation Plan form. Bidders are required to complete, sign and submit with their overall proposal. Please complete separate forms for each MBE, WBE and/or Disability Business Enterprise subcontractor/supplier to be utilized on the solicitation.

MBE, WBE, and/or DISABILITY BUSINESS ENTERPRISE PARTICIPATION PLAN

Bidder's Name:

Bidder's Address:

Point of Contact:

Telephone:

Email:

Solicitation No.:

Project Name:

This form is intended to capture commitments between the prime contractor/vendor and MBE/WBE and/or Disability Business Enterprise subcontractors and suppliers, including a description of the work to be performed and the percentage of the work as submitted to the prime contractor/vendor. Please note that all MBE/WBE subcontractors/suppliers must be certified by the Office of Diversity, Equity and Opportunity MBE Compliance Office and all Disability Business Enterprises must be certified by the Governor's Commission on Disabilities at time of bid, and that MBE/WBE and Disability Business Enterprise subcontractors must self-perform 100% of the work or subcontract to another RI certified MBE in order to receive participation credit. Vendors may count 60% of expenditures for materials and supplies obtained from an MBE certified as a regular dealer/supplier, and 100% of such expenditures obtained from an MBE certified as a manufacturer. This form must be completed in its entirety and submitted at time of bid. **Please complete separate forms for each MBE/WBE or Disability Business Enterprise subcontractor/supplier to be utilized on the solicitation.**

Name of Subcontractor/Supplier:					
Type of RI Certification:	<input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Disability Business Enterprise				
Address:					
Point of Contact:					
Telephone:					
Email:					
Detailed Description of Work To Be Performed by Subcontractor or Materials to be Supplied by Supplier:					
Total Contract Value (\$):		Subcontract Value (\$):		ISBE Participation Rate (%):	
Anticipated Date of Performance:					

I certify under penalty of perjury that the forgoing statements are true and correct.

Prime Contractor/Vendor Signature	Title	Date

Subcontractor/Supplier Signature	Title	Date

RFP/RFQ RESPONSE CERTIFICATION COVER FORM

Instruction: To fulfill your RFP/RFQ response, this form must be completed, printed, signed and included with your submission.

SECTION 1 - RESPONDENT INFORMATION

RFP/RFQ Number:

RFP/RFQ Title:

RFP/RFQ Respondent Name:

Address:

Telephone:

Fax:

Contact Name:

Contact Title:

Contact Email:

SECTION 2 —DISCLOSURES

RFP/RFQ Respondents must respond to every statement. RFP/RFQ Responses submitted without a complete response may be deemed nonresponsive.

Indicate "Y" (Yes) or "N" (No) for Disclosures 1-4, and if "Yes," provide details below

____ 1. State whether the Respondent, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Respondent or any parent, subsidiary, or affiliate has been subject to suspension or debarment by any federal, state, or municipal governmental authority, or the subject of criminal prosecution, or convicted of a criminal offense within the previous 5 years. If "Yes," provide details below.

____ 2. State whether the Respondent, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Respondent or any parent, subsidiary, or affiliate has had any contracts with a federal, state, or municipal governmental authority terminated for any reason within the previous 5 years. If "Yes," provide details below.

____ 3. State whether the Respondent, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Respondent or any parent, subsidiary, or affiliate has been fined more than \$5000 for violation(s) of any Rhode Island environmental law(s) by the Rhode Island Department of Environmental Management within the previous 5 years. If "Yes," provide details below.

____ 4. State whether any officer, director, manager, stockholder, member, partner, or other owner or principal of the Respondent is serving or has served within the past two calendar years as either an appointed or elected official of any state governmental authority or quasi-public corporation, including without limitation, any entity created as a legislative body or public or state agency by the general assembly or constitution of this state.

Disclosure details (continue on additional sheets if necessary):

SECTION 3 —OWNERSHIP DISCLOSURE

Respondents must provide all relevant information. Respondent proposals submitted without a complete response may be deemed nonresponsive.

If the Respondent is publicly held, the Respondent may provide owner information about only those stockholders, members, partners, or other owners that hold at least 10% of the record or beneficial equity interests of the Respondent; otherwise, complete ownership disclosure is required.

List each officer, director, manager, stockholder, member, partner, or other owner or principle of the Respondent, and each intermediate parent company and the ultimate parent company of the Respondent. For each individual, provide his or her name, business address, principal occupation, position with the Respondent, and the percentage of ownership, if any, he or she holds in the Respondent, and each intermediate parent company and the ultimate parent company of the Respondent.

SECTION 4 —CERTIFICATIONS

Respondents must respond to every statement. Responses submitted without a complete response may be deemed nonresponsive.

Indicate "Y" (Yes) or "N" (No), and if "No," provide details below.

THE RESPONDENT CERTIFIES THAT:

___ 1. The Respondent will immediately disclose, in writing, to the Rhode Island Commerce Corporation any potential conflict of interest which may occur during the term of any contract awarded pursuant to this solicitation.

___ 2. The Respondent possesses all licenses and anyone who will perform any work will possess all licenses required by applicable federal, state, and local law necessary to perform the requirements of any contract awarded pursuant to this solicitation and will maintain all required licenses during the term of any contract awarded pursuant to this solicitation. In the event that any required license shall lapse or be restricted or suspended, the Respondent shall immediately notify the Rhode Island Commerce Corporation in writing.

___ 3. The Respondent will maintain all required insurance during the term of any contract pursuant to this solicitation. In the event that any required insurance shall lapse or be canceled, the Respondent will immediately notify the Rhode Island Commerce Corporation in writing.

___ 4. The Respondent understands that falsification of any information in its RFP/RFQ response or failure to notify the Rhode Island Commerce Corporation of any changes in any disclosures or certifications in this Respondent Certification may be grounds for suspension, debarment, and/or prosecution for fraud.

___ 5. The Respondent has not paid and will not pay any bonus, commission, fee, gratuity, or other remuneration to any employee or official of the Rhode Island Commerce Corporation or the State of Rhode Island or any subdivision of the State of Rhode Island or other governmental authority for the purpose of obtaining an award of a contract pursuant to this solicitation. The Respondent further certifies that no bonus, commission, fee, gratuity, or other remuneration has been or will be received from any third party or paid to any third party contingent on the award of a contract pursuant to this solicitation.

___ 6. This RFP/RFQ response is not a collusive RFP/RFQ response. Neither the Respondent, nor any of its owners, stockholders, members, partners, principals, directors, managers, officers, employees, or agents has in any way colluded, conspired, or agreed, directly or indirectly, with any other Respondent or person to submit a collusive response to the solicitation or to refrain from submitting response to the solicitation, or has in any manner, directly or indirectly, sought by agreement or collusion or other communication with any other Respondent or person to fix the price or prices in the response or the response of any other Respondent, or to fix any overhead, profit, or cost component of the price in the response or the response of any other Respondent, or to secure through any collusion, conspiracy, or unlawful agreement any advantage against the Rhode Island Commerce Corporation or the State of Rhode Island or any person with an interest in the contract awarded pursuant to this solicitation. The price in the response is fair and proper and is not tainted by any collusion, conspiracy, or unlawful agreement on the part of the Respondent, its owners, stockholders, members, partners, principals, directors, managers, officers, employees, or agents.

___ 7. The Respondent: (i) is not identified on the General Treasurer's list created pursuant to R.I. Gen. Laws § 37-2.5-3 as a person or entity engaging in investment activities in Iran described in § 37-2.5-2(b); and (ii) is not engaging in any such investment activities in Iran.

___ 8. The Respondent will comply with all of the laws that are incorporated into and/or applicable to any contract with the Rhode Island Commerce Corporation.

Certification details (continue on additional sheet if necessary):

Submission by the Respondent of a response pursuant to this solicitation constitutes an offer to contract with the Rhode Island Commerce Corporation on the terms and conditions contained in this solicitation and the response. The Respondent certifies that: (1) the Respondent has reviewed this solicitation and agrees to comply with its terms and conditions; (2) the response is based on this solicitation; and (3) the information submitted in the response (including this Respondent Certification Cover Form) is accurate and complete. The Respondent acknowledges that the terms and conditions of this solicitation and the response will be incorporated into any contract awarded to the Respondent pursuant to this solicitation and the response. The person signing below represents, under penalty of perjury, that he or she is fully informed regarding the preparation and contents of this response and has been duly authorized to execute and submit this response on behalf of the Respondent.

RESPONDENT

Date: _____

Name of Respondent

Signature in ink

Printed name and title of person signing on behalf of Respondent